

Changes in CARE Act Title I: Lessons Learned in Los Angeles and New York

Gunther Freehill

Director, Public Affairs

County of Los Angeles

Department of Health Services

Office of AIDS Programs and Policy

Robert Cordero

Director of Federal Advocacy

Housing Works

New York

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Lesson No. 1

CARE Act Programs are a Moving Target.

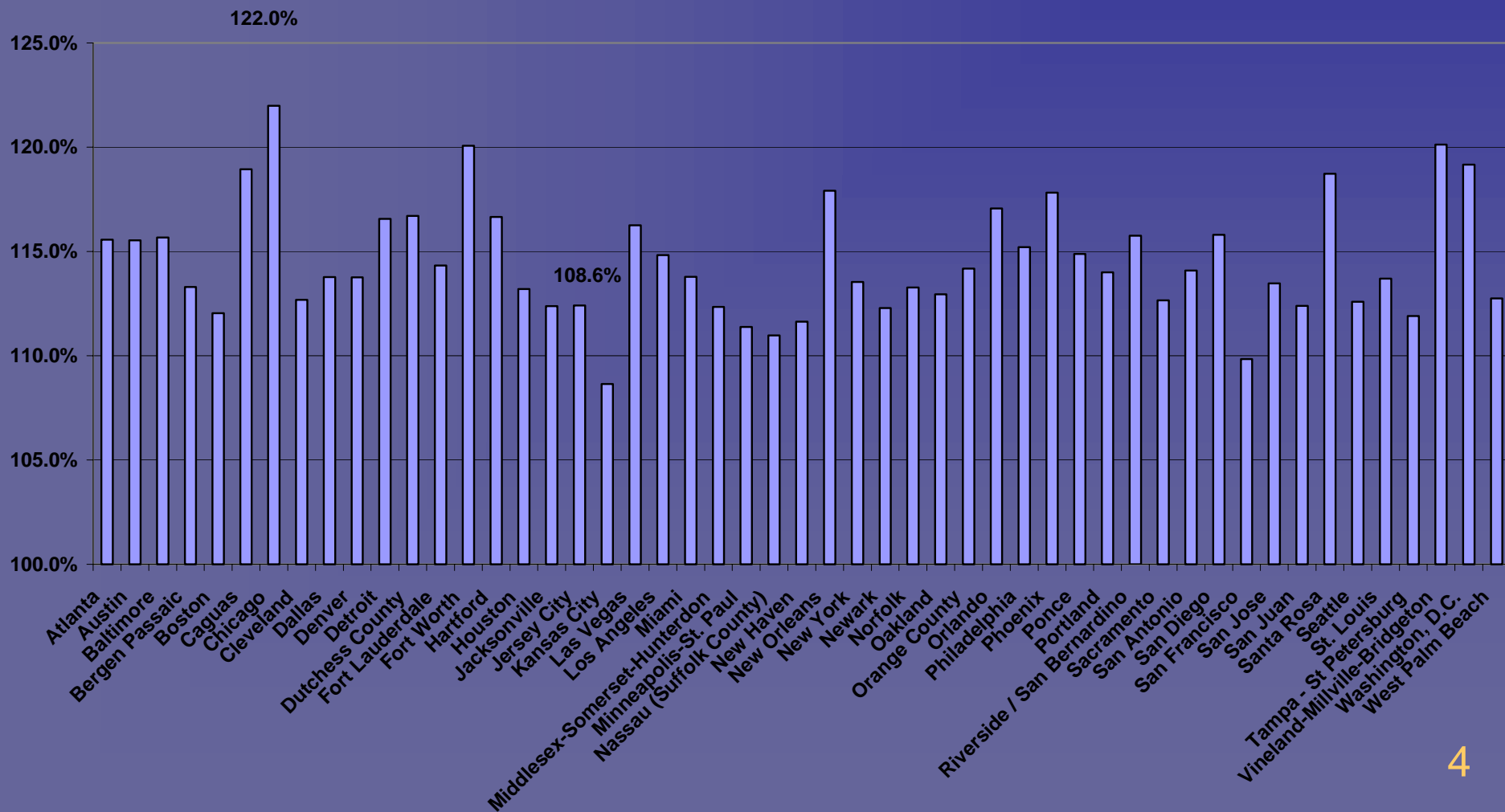
Year 14 Title I Changes

Estimated Living AIDS Cases

- EMAs with Increase 51
- Overall Increase 30,794
- Overall Increase 14.5%
- Largest Increase 22.0%
- Smallest Increase 8.6%

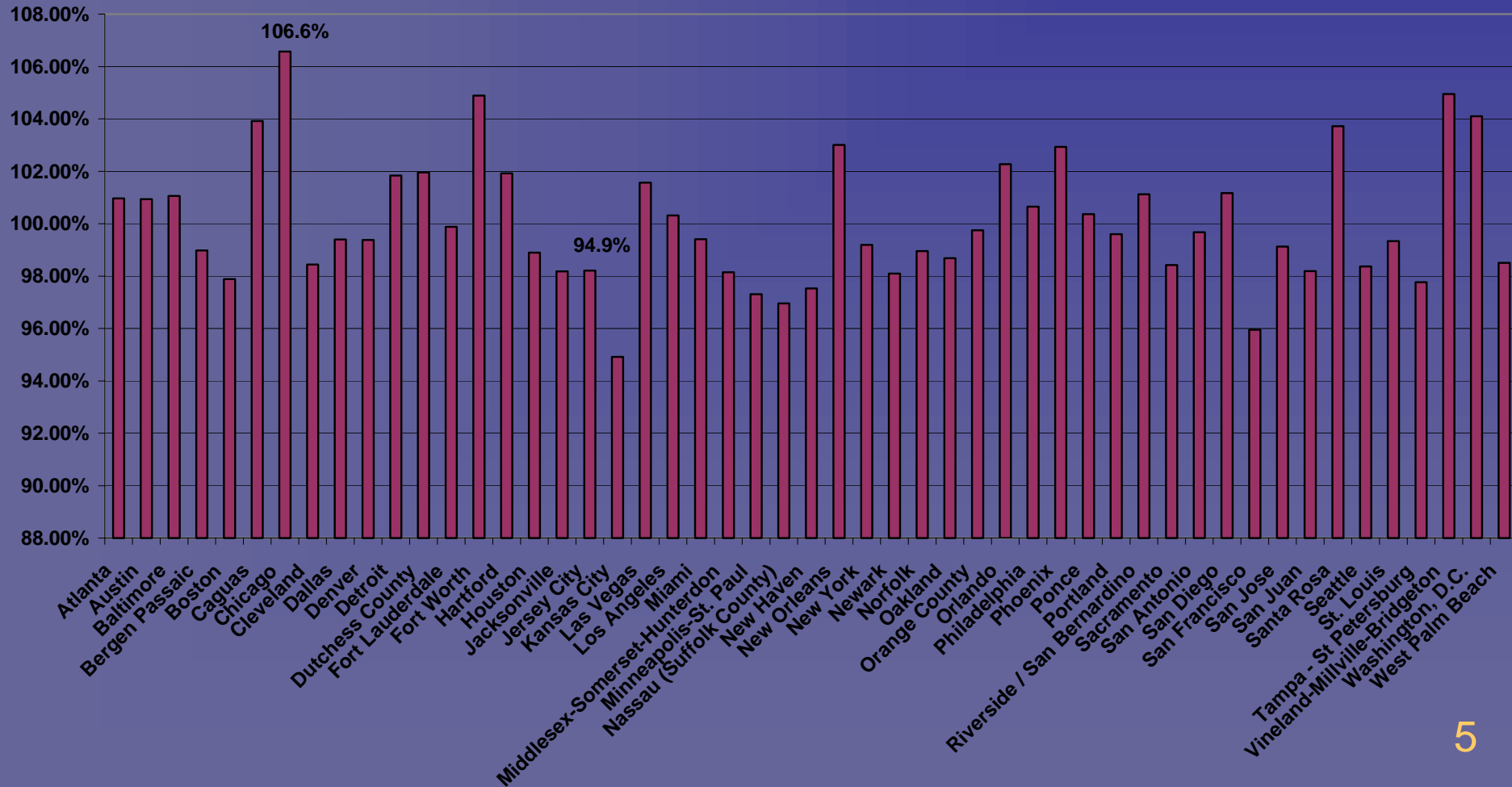
Year 13, 14 Changes

Estimated Living AIDS Cases (ELAC) by EMA



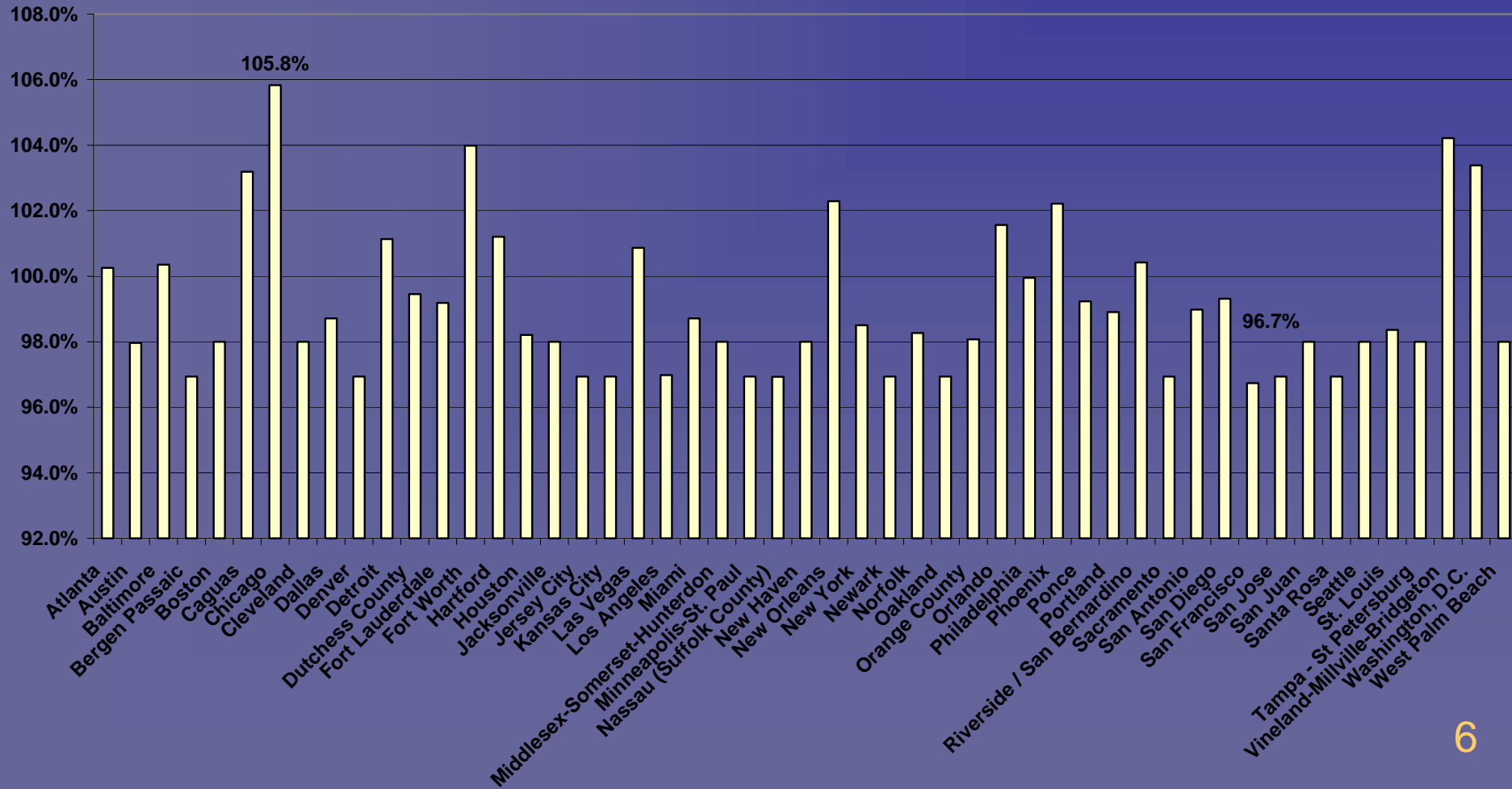
Year 13, 14 Changes

Proportion ELAC by EMA



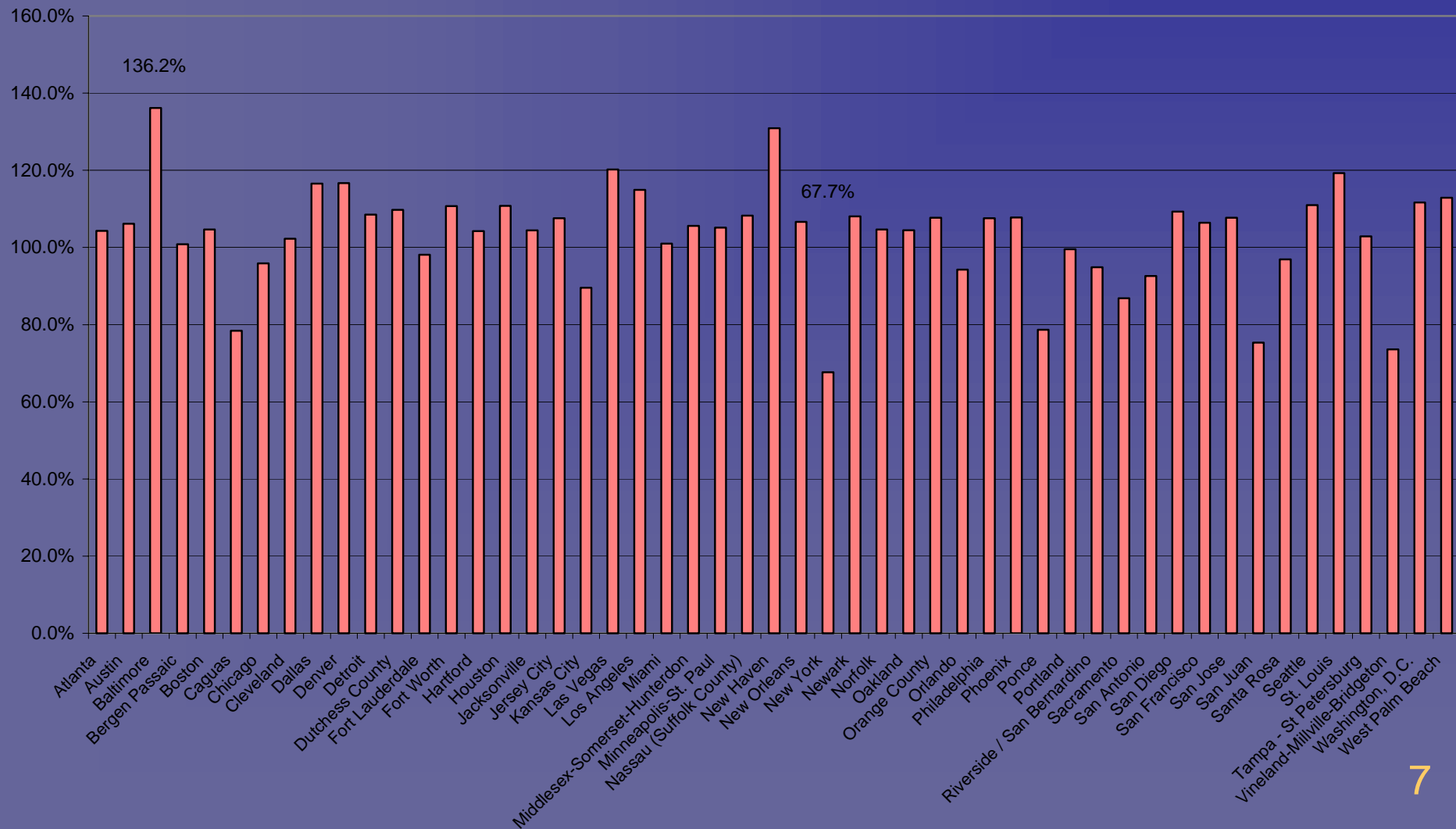
Year 13, 14 Changes

Formula Award by EMA



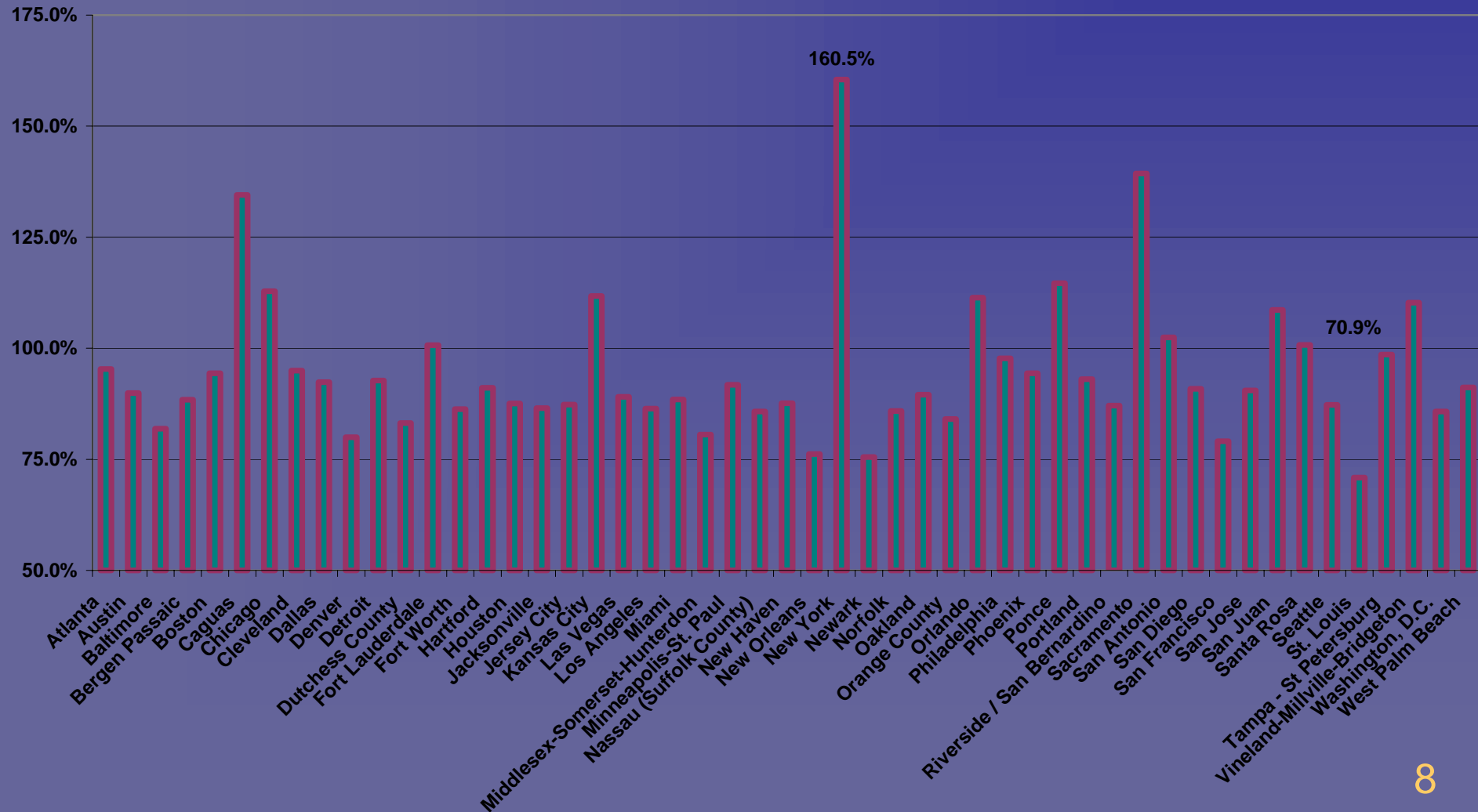
Year 12, 13 Changes

Supplemental Award by EMA



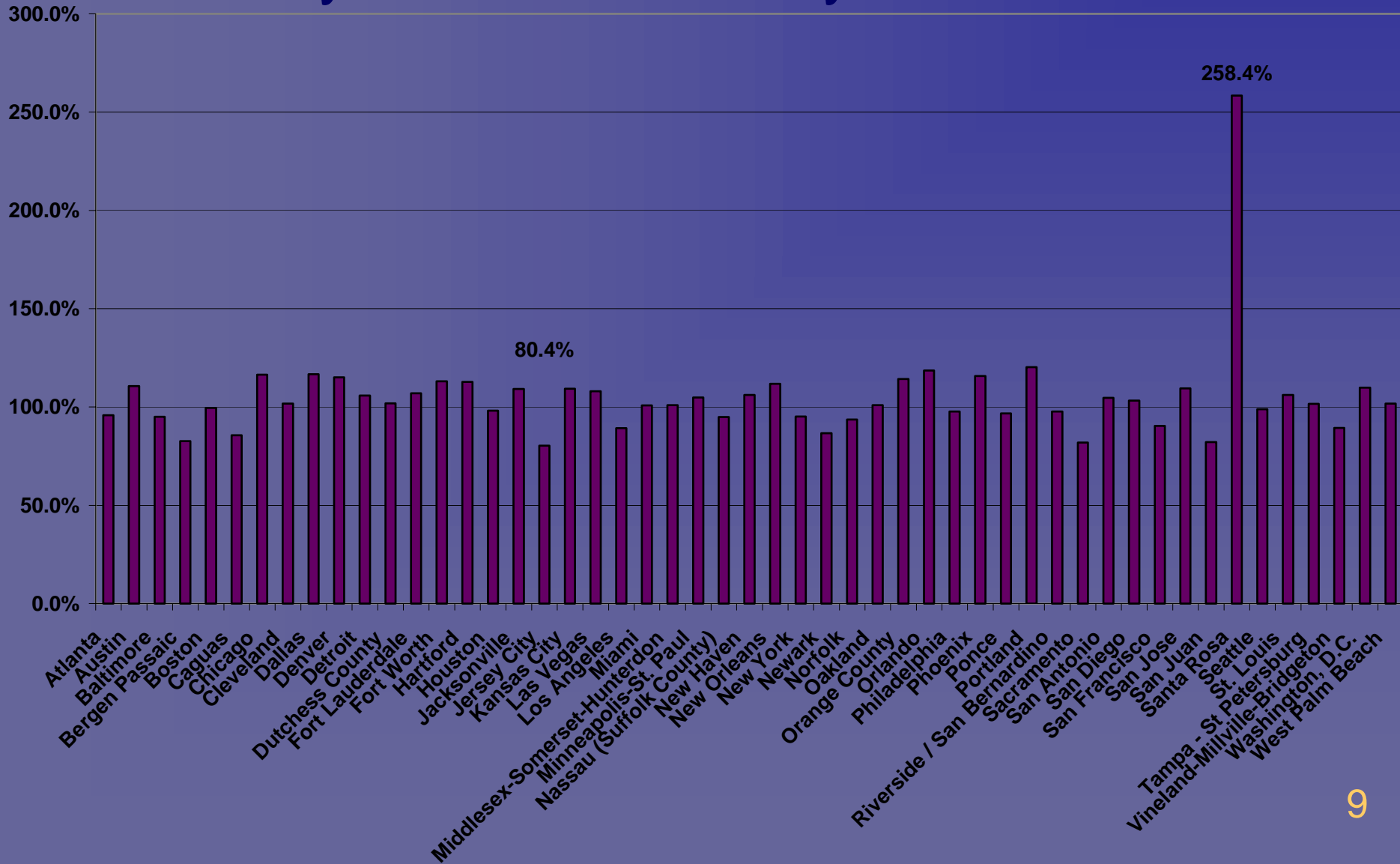
Year 13, 14 Changes

Supplemental Award by EMA



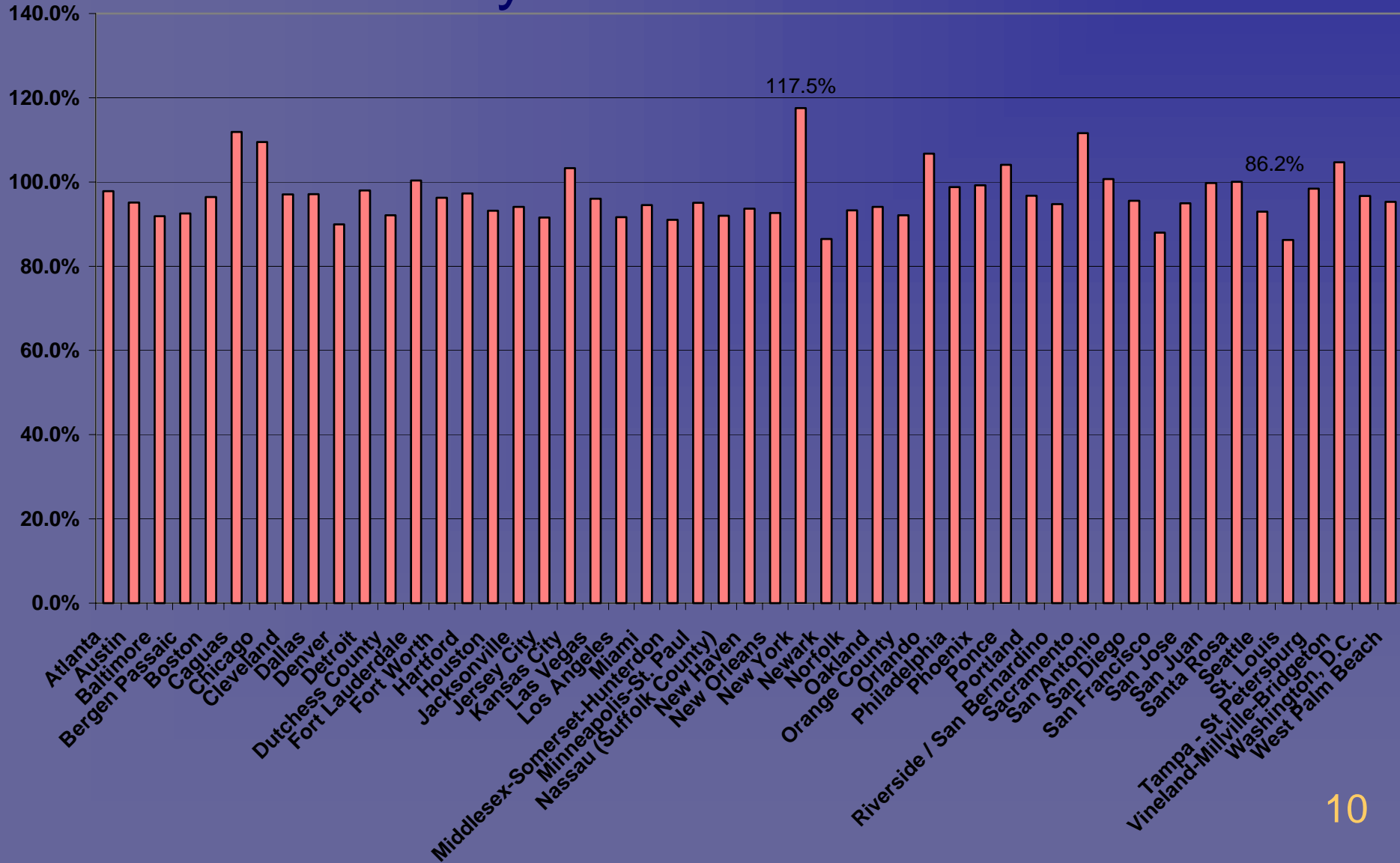
Year 13, 14 Changes

Minority AIDS Initiative by EMA



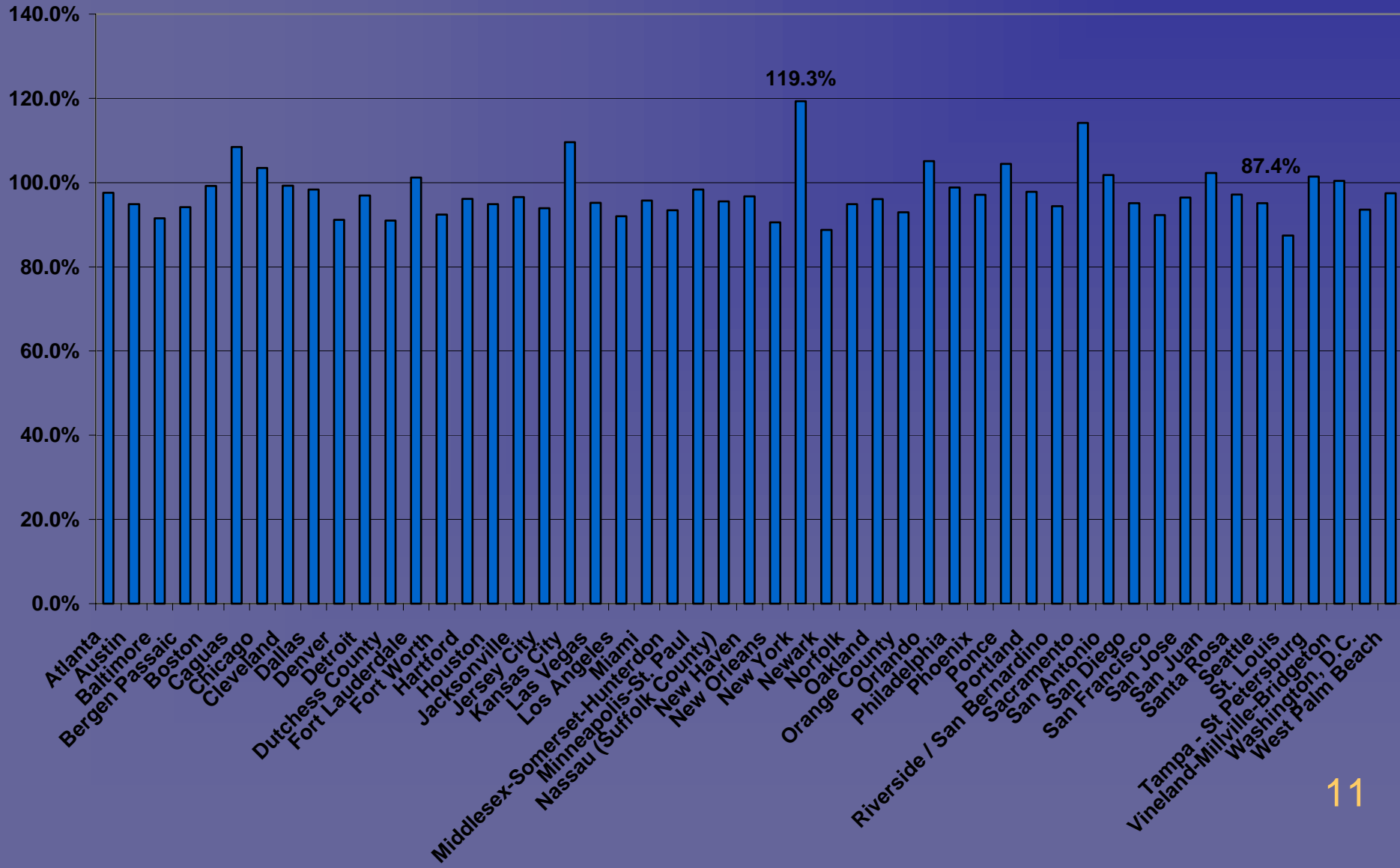
Year 13, 14 Changes

Total Title I by EMA



Year 13, 14 Changes

Funding per ELAC by EMA



Lesson No. 2

Hold Harmless Doesn't.

Allocating Funds

Title I Formula

1990

- 75%: Cumulative AIDS Cases
 - Cumulative AIDS Cases Each Jurisdiction / Cumulative AIDS Cases Among Jurisdictions
- 25%: Ratio of Relative Density
 - Density: AIDS Cases per 100,000 Population
 - Ratio: Density of Each Jurisdiction / Total of Densities for All Jurisdictions

Allocating Funds

Title I Formula

1996

- 100% Estimated Living AIDS Cases
 - Ten-Year Band
 - Adjusted for Estimated Mortality
- “Hold Harmless”
 - Maximum Reduction of Formula Award
 - One Percent per Year of Base Year

Allocating Funds

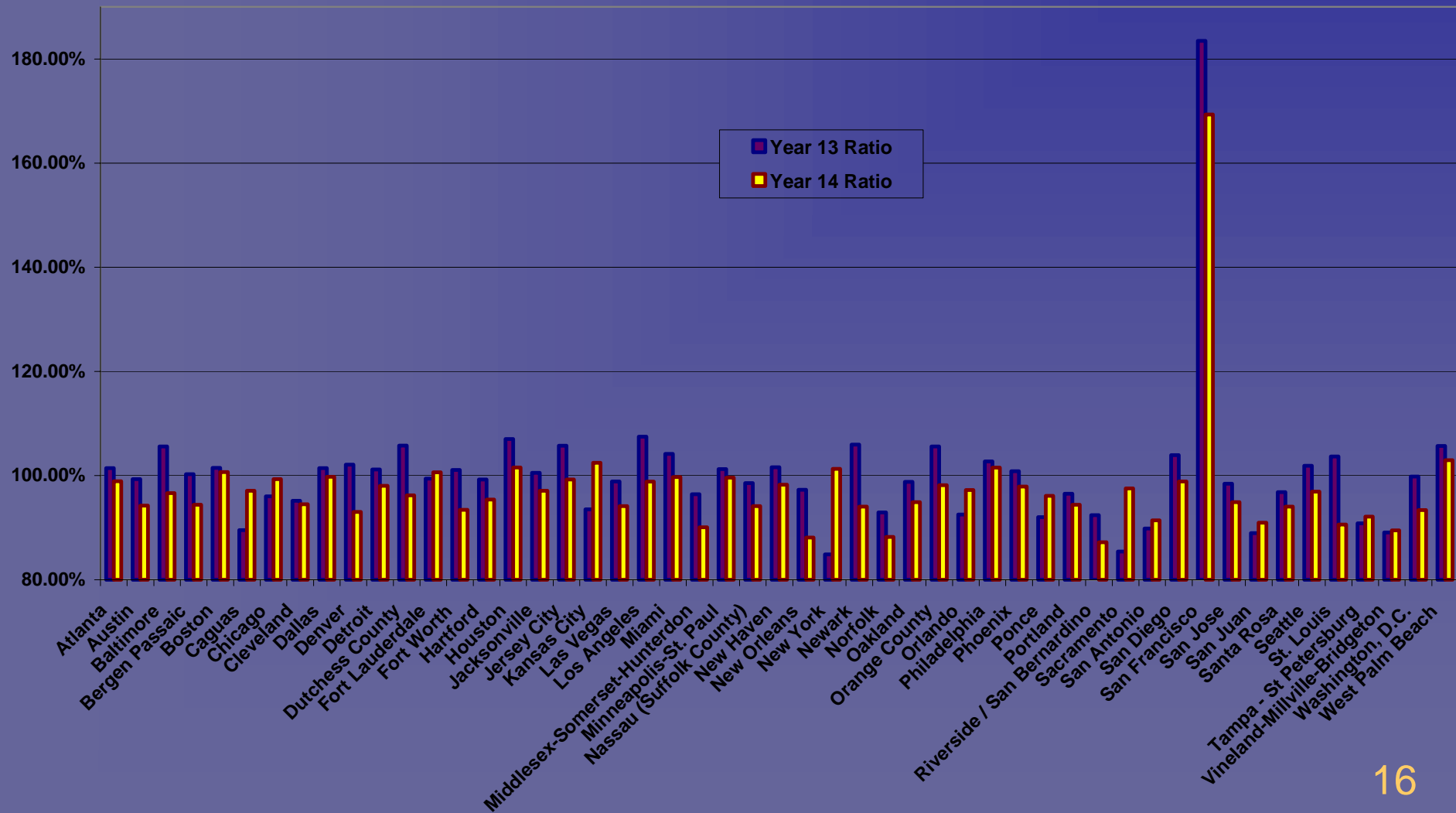
Title I Formula

2000

- 100% Estimated Living AIDS Cases
 - Ten-Year Band
 - Adjusted for Mortality
 - Use HIV Cases as of 2004 or 2007
- “Hold Harmless”
 - Maximum Reduction of Formula
 - Minimum Two Percent per Year of Base Year
 - Graduated Increase for Consecutive Years of Need (Maximum of 15%)

Year 13, 14 Changes

Proportion Funding per ELAC by EMA



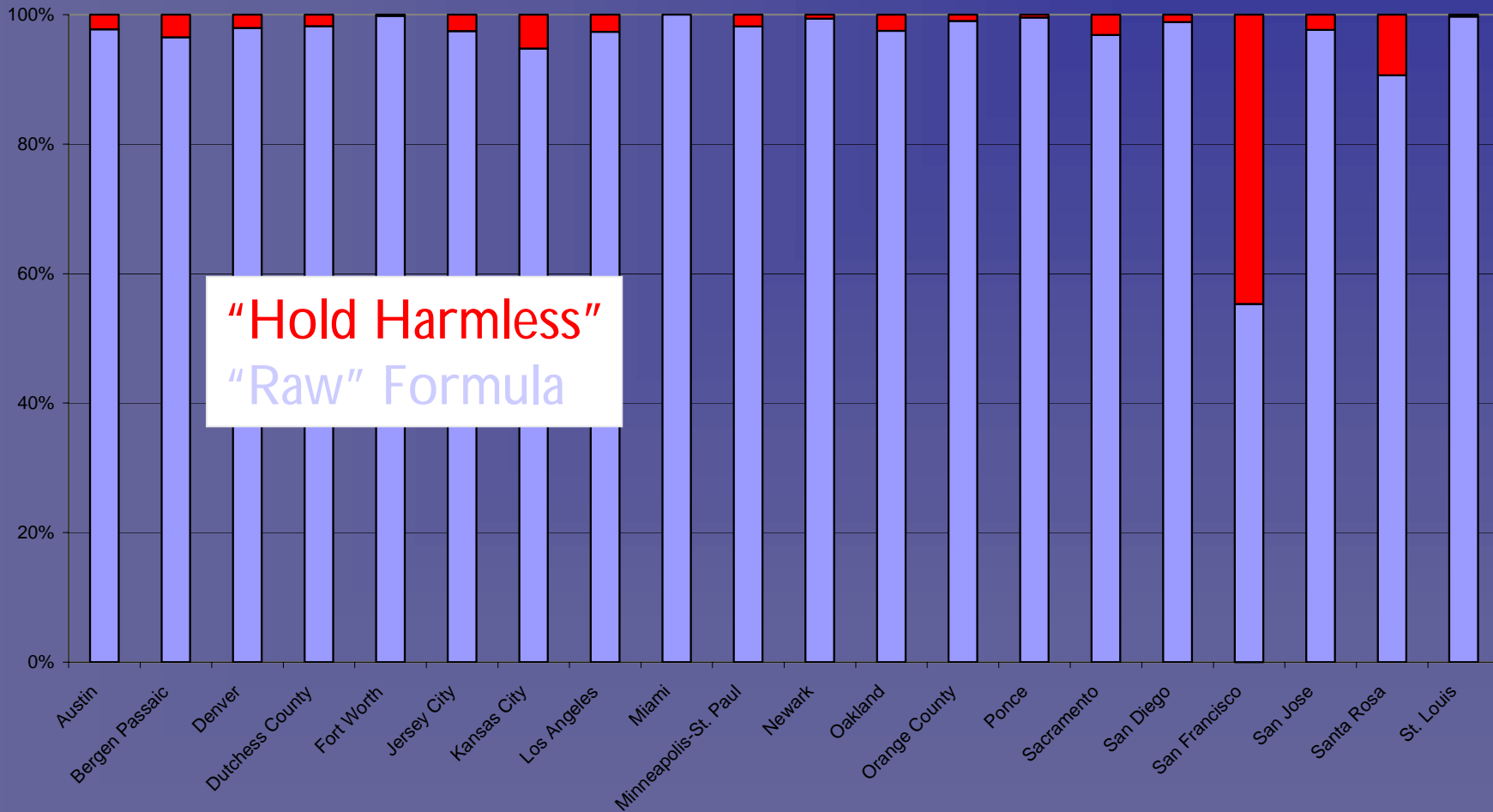
“Hold Harmless” Beneficiaries

Year 13

Austin	46,749	2.27%	Newark	52,101	0.62%
Bergen Passaic	93,206	3.47%	Oakland	90,991	2.50%
Denver	51,269	2.04%	Orange County	26,915	0.99%
Dutchess County	11,420	1.77%	Ponce	6,53	0.44%
Fort Worth	3,006	0.17%	Sacramento	50,461	3.14%
Jersey City	78,578	2.52%	San Diego	60,011	1.15%
Kansas City	92,252	5.21%	San Francisco	7,467,493	44.67%
Los Angeles	505,749	2.65%	San Jose	34,488	2.37%
Miami	311	0.00%	Santa Rosa	59,051	9.36%
Minneapolis	29,769	1.82%	St. Louis	6,992	0.29%
-St. Paul			TOTAL	8,767,493	2.84%

“Hold Harmless” Benefit by EMA

Year 13



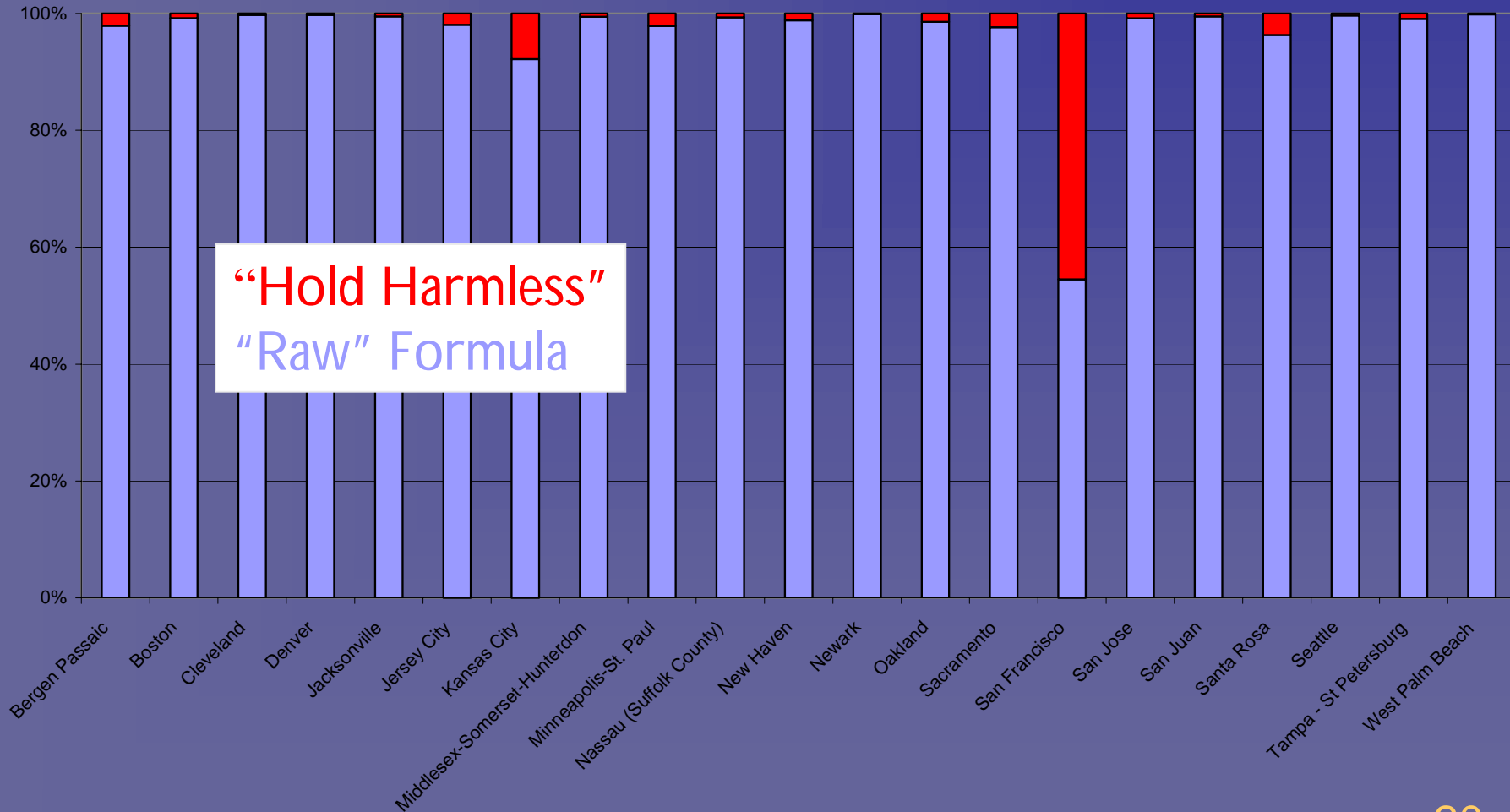
“Hold Harmless” Beneficiaries

Year 14

Bergen Passaic	55,288	2.12%	Newark	10,975	0.13%
Boston	60,284	0.81%	Oakland	50,744	1.44%
Cleveland	4,616	0.25%	Sacramento	36,456	2.34%
Denver	6,475	0.27%	San Francisco	7,358,239	45.50%
Jacksonville	12,825	0.51%	San Jose	12,097	0.86%
Jersey City	58,310	1.93%	San Juan	41,011	0.50%
Kansas City	134,485	7.84%	Santa Rosa	22,614	3.70%
Middlesex	8,315	0.55%	Seattle	9,844	0.33%
Minneapolis	33,770	2.13%	Tampa	44,908	0.94%
- St. Paul			- St. Petersburg		
Nassau	21,212	0.67%	West Palm Beach	8,523	0.19%
New Haven	42,573	1.17%	TOTAL	8,033,564	2.63%

“Hold Harmless” Benefit by EMA

Year 14



Lesson No. 3

Appropriations Matter.

Appropriations by Proportion

Lesson No. 4

Title I Awards are not Transparent.

Year 14 Title I Changes

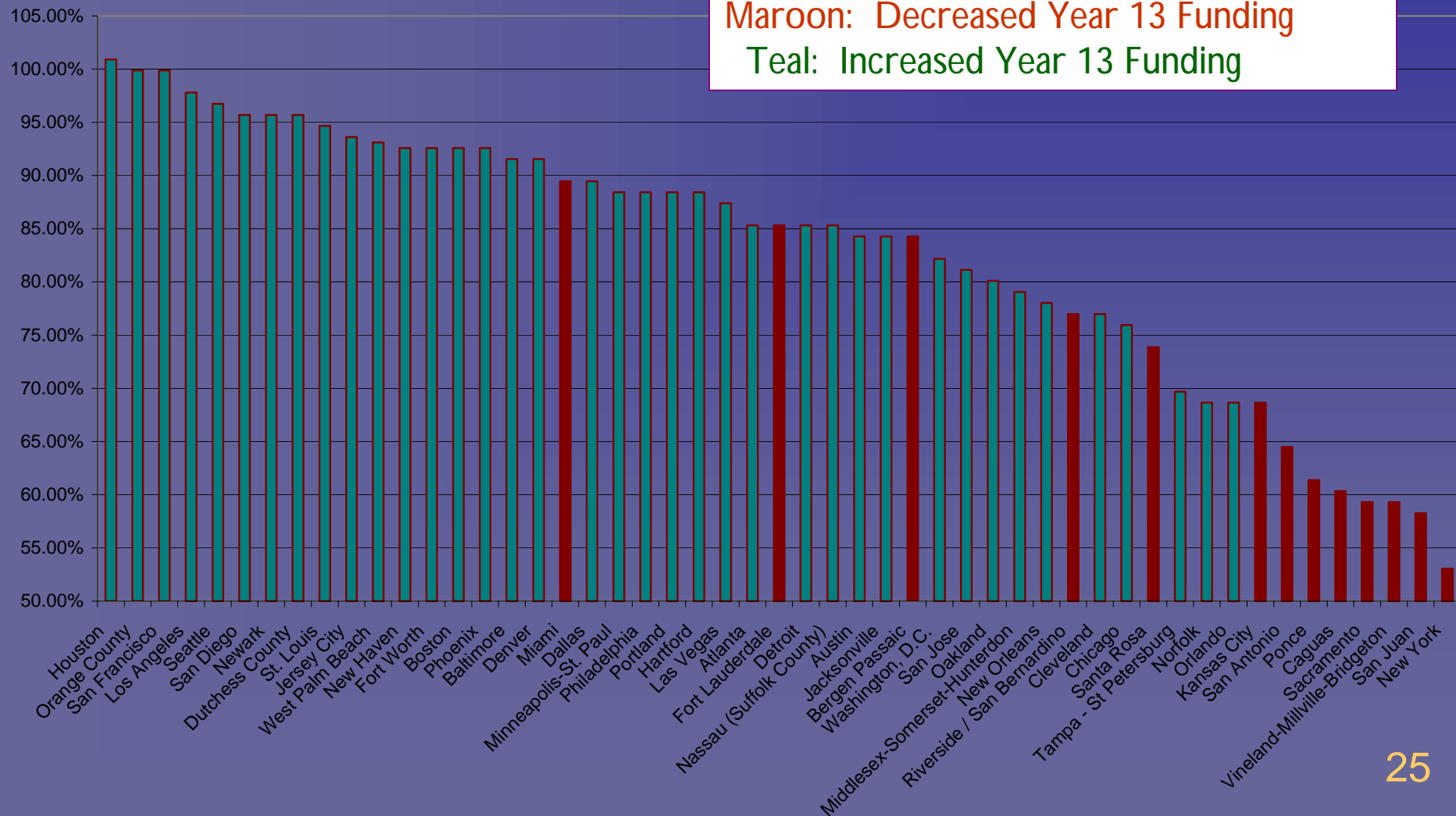
Competitive Supplemental Application

- Application Rank and Funding Increases

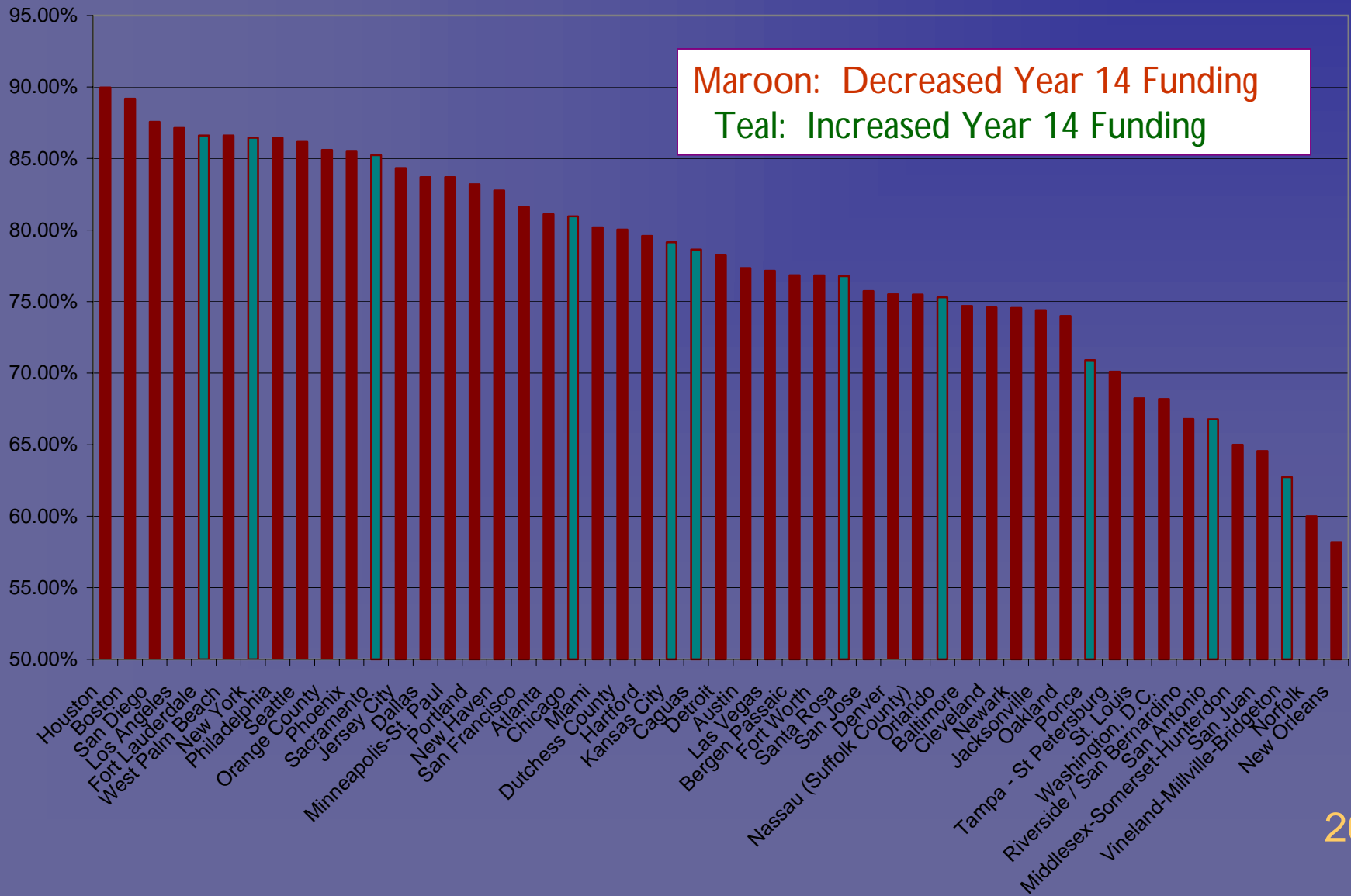
	<u>Total</u>	<u>Increased</u>
■ Top Third	17	3
■ Middle Third	17	4
■ Lowest Third	17	3

- Lack of Transparency in Allocation Decisions

Projected Ranking of Application by EMA Year 13



Projected Ranking of Application by EMA Year 14



From Application to Allocation

- Step 1: Determine Formula and Supplemental Funds
- Step 2: Calculate Formula Award
- Step 3: Reduce Supplemental Funds for “Hold Harmless”

From Application to Allocation

- Step 4: Reduce Supplemental Funds as Needed
 - Minority AIDS Initiative
 - Evaluation Tap
 - Other Programs TBD
- Step 5: Score Each Application
- Step 6: “Normalize” Scores

From Application to Allocation

- Step 7: Calculate Distribution Factor
 - Multiply Score by Formula Award
- Step 8: Calculate Relative Distribution Factor
 - Sum Distribution Factor
 - Divide Distribution Factor by Sum of Distribution Factor
- Step 9: Apply Proportion of Relative Distribution Factor to Supplemental Funds

From Application to Allocation

Example No. 1

EMA	Formula	Score	Distribution Factor	Relative Distribution Factor	Supple mental	TOTAL
X	5,000	100	500,000	8.70%	8,696	13,696
Y	100,000	50	5,000,000	86.96%	86,957	186,957
Z	10,000	25	250,000	4.35%	4,348	14,348
TOTAL	115,000		5,750,000	100.00%	100,000	215,000

From Application to Allocation

Example No. 2

EMA	Formula	Score	Distribution Factor	Relative Distribution Factor	Supple mental	TOTAL
X	5,000	100	500,000	8.00%	8,000	13,000
Y	100,000	50	5,000,000	80.00%	80,000	180,000
Z	10,000	75	750,000	12.00%	12,000	22,000
TOTAL			6,250,000	100.00%	100,000	215,000

From Application to Allocation

Example No. 3

EMA	Formula	Score	Distribution Factor	Relative Distribution Factor	Supplemental	TOTAL
X	5,000	100	500,000	4.65%	4,651	9,651
Y	100,000	100	10,000,000	93.02%	93,023	193,023
Z	10,000	25	250,000	2.33%	2,326	12,326
TOTAL			10,750,000	100.00%	100,000	215,000

Lesson No. 5

Title I Awards Are Unstable.

Year 14 Title I Changes

EMAS with Increases in Title I Funds (Total)

	Funds	ELAC
■ Number	11	11
■ Average Change	6.4%	15.9%
■ Smallest Change	.1%	8.6%
■ Largest Change	17.5%	22.0%

Year 14 Title I Changes

EMAS with Decreases in Title I Funds (Total)

	Funds	ELAC
■ Number	40	40
■ Average Change	- 5.7%	14.2%
■ Smallest Change	- 0.3%	9.8%
■ Largest Change	- 15.8%	20.1%

Year 14 Title I Changes

Funds per Estimated Living AIDS Case

	<u>Year 13</u>	<u>Year 14</u>
• Overall	2,816	2,443
• Minimum	2,390	2,130
• Maximum	5,166	4,137

Lesson No. 6

Title I Has Changed Substantially.

Allocating Funds

Title I EMA Eligibility

1990

- Criteria
 - Two Thousand AIDS Cases in Five Years
 - Per Capita Cumulative Incidence Greater than 2.5 per 100,000

Allocating Funds

Title I EMA Eligibility 1996, 2000

- Criteria
 - Two Thousand AIDS Cases in Five Years
 - (Per Capita Cumulative Incidence Repealed)
 - Minimum Population 500,000
 - Previously Designated Remain Eligible

Allocating Funds

Title I EMA Eligibility

Total

1990	16
1996	49
2000	51

Allocating Funds Title I Supplemental

1990

- Severe Need

Allocating Funds

Title I Supplemental

1996

- Severe Need: HIV Services Impact of
 - Sexually Transmitted Diseases
 - Substance Abuse
 - Tuberculosis
 - Severe Mental Illness
 - Other Co-Morbid Factors
 - New or Growing Subpopulations with HIV
 - Homelessness

Allocating Funds

Title I Supplemental

2000

- Severe Need: Additional HIV Services
Impact of
 - Prevalence
 - Increase Need for Services, Including Relative Rates of AIDS Cases
 - Unmet Need for Services
- One-third of Supplemental Score

Lesson No. 7

Title I Expectations Increase.

Community Planning

Title I Council Duties

1990

- Establish Priorities
- Develop Comprehensive Plan
- Assess Efficiency of Administrative Mechanism

Community Planning

Title I Council Duties

1996

- Establish Priorities
 - Documented Need
 - Cost and Outcome Effectiveness
 - Priorities of Affected Communities
 - Availability of Other Government Resources
- Develop Comprehensive Plan

Community Planning

Title I Council Duties (Cont'd) 1996

- Assess Efficiency of Administrative Mechanism
 - Including Effectiveness of Services Supported
- Statewide Coordinated Statement of Need
- Obtain Community Input on Needs and Priorities

Community Planning

Title I Council Duties

2000

- Determine Size and Demographics of People with HIV
- Determine Needs, Emphasizing
 - People with HIV Not In Care
 - Disparities in Access and Services

Community Planning

Title I Council Duties (Cont'd) 2000

- Establish Priorities
 - Documented Need
 - Cost and Outcome Effectiveness
 - Priorities of Affected Communities
 - Availability of Other Government Resources

Community Planning

Title I Council Duties (Cont'd) 2000

- Develop Comprehensive Plan, Including
 - Strategies to Find People with HIV Not In Care
 - Coordination with HIV Prevention
 - Compatibility with State or Local Plan

Community Planning

Title I Council Duties (Cont'd) 2000

- Assess Efficiency of Administrative Mechanism
 - Including Effectiveness of Services Supported
- Statewide Coordinated Statement of Need

Community Planning

Title I Council Duties (Cont'd) 2000

- Obtain Community Input on Needs & Priorities
- Coordinate with Federal Grants

Themes and Issues

- Increasing Guidance
 - Services to be Supported
 - Location of Services
 - Populations to be Served
 - Underserved
 - Rural
 - Remote
 - Women, Children, Family and Youth

Themes and Issues

- Increasing Cross-Over
 - Early Intervention Services
 - Services to Women, Infants, Children and Youth

Themes and Issues

- Increasing Expectations
 - Quality Management Programs
 - Data Collection
 - Outcome Evaluation
 - Coordination

Themes and Issues

- Persistent Questions
 - Structure of the Titles
 - Equity of Distribution
 - Potential Value of Block Grants
 - Entitlement vs. Discretionary Programs
 - Coordination with other Funding Sources

Themes and Issues

- Statutory
 - Amended Language
 - Member Request
 - Report Language
 - “Congressional Intent”
 - Appropriations Language
- Regulatory

Themes and Issues

- Not in the CARE Act...
 - Minority AIDS Initiative
 - Programmatic
 - Appropriations
 - HIV Prevention
 - HOPWA
 - SAMHSA
 - Medicaid

Framing Reauthorization

- National Advocacy Groups
 - AIDS Action
 - AIDS Policy Alliance
 - CAEAR Coalition
 - National Minority AIDS Council (NMAC)
 - National Association of People with AIDS (NAPWA)
 - National Association of State and Territorial AIDS Directors (NASTAD)

Framing Reauthorization (Cont'd)

- Institute of Medicine Reports
 - Measuring What Matters
 - Public Financing
- HRSA/CDC Advisory Committee
- Early Treatment of HIV Act (ETHA)

Time Line

- Election November 2004
- 109th Congress January 2005
- CARE Act 2000 Expires
30 September 2005

Questions and Opinions

Contact Information

Gunther Freehill

County of Los Angeles

Office of AIDS Programs and
Policy

600 S. Commonwealth Ave.
Sixth Floor

Los Angeles, CA 90005

Phone: 213/351-8340

Fax: 213/738-9371

E-mail: GFreehill@ladhs.org

Robert Cordero

Housing Works

320 West 13th Street

New York, NY 10014

Phone: 212/645-8111 Ext. 1

Fax: 212/645-9750

E-mail:

cordero@housingworks.org